Soil Moisture Active Passive (SMAP) Mission

Level 3 Passive Soil Moisture Product Specification Document

Version 7.0 R17 Extended Mission Release

Steven Chan R. Scott Dunbar

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Soil Moisture Active Passive (SMAP) Level 3 Passive Soil Moisture Product Specification Document

Version 7.0 Extended Mission Release

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			(SCA-H and DCA) and
			associated retrieval outputs to
			product data elements

TBD, TBR, TBS LOG

Section/Page	Description	Due Date
4.3	More accurate estimate of data volume to be determined from simulations	Mar 2013
References	Information on references was updated	Aug 2015

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1 INTRODUCTION

1.1 Identification

This is the Product Specification Document (PSD) for the Level 3 Passive Soil Moisture Product for the Science Data System (SDS) of the Soil Moisture Active Passive (SMAP) project. The product provides gridded data of SMAP radiometer-only soil moisture retrieval, ancillary data, and quality-assessment flags on a 36-km Earth-fixed grid. Only cells that are covered by the actual swath are written into the product.

1.2 Scope

This document describes the file format and data contents of the Level 3 Passive Soil Moisture Product (hereafter referred to as 'L2_SM_P' for brevity) for external software interfaces. The SMAP Science Data Management and Archive Plan Document provides a more comprehensive explanation of this product within the context of the SMAP instrument, algorithms, and software.

1.3 The SMAP Mission

The SMAP mission is a unique mission that combines passive (radiometer) and active (radar) observations to provide global mapping of soil moisture and freeze/thaw state with unprecedented accuracy, resolution, and coverage. The resulting space-based hydrosphere state measurements will improve:

- Understanding of the processes that link the terrestrial water, energy and carbon cycles
- Estimate of global water and energy fluxes at the land surface
- Measurement of net carbon flux in boreal landscapes
- Weather and climate forecast skill
- Flood prediction and drought monitoring capabilities

Table 1 is a summary of the SMAP instrument functional requirements derived from its science measurement needs. The goal is to combine the various positive attributes of the radar and radiometer observations, including spatial resolution and sensitivity to soil moisture, surface roughness, and vegetation, to estimate soil moisture at a resolution of 10 km and freeze-thaw state at a resolution of 1-3 km.

Table 1: SMAP Mission Requirements

Scientific Measurement Requirements	Instrument Functional Requirements
Soil Moisture:	L-Band Radiometer (1.41 GHz):
~± 0.04 m3/m3 volumetric accuracy (1-sigma)	Polarization: TH, Tv, T3, and T4
in the top 5 cm for vegetation water content \leq 5	Resolution: 40 km
kg/m2	Radiometric Uncertainty*: 1.3 K
Hydrometeorology at ~10 km resolution	L-Band Radar (1.26 and 1.29 GHz):
Hydroclimatology at ~40 km resolution	Polarization: VV, HH, HV (or VH)
	Resolution: 10 km
	Relative accuracy*: 0.5 dB (VV and
	HH)
	Constant incidence angle** between
	35° and 50°
Freeze/Thaw State:	L-Band Radar (1.26 GHz & 1.29
Capture freeze/thaw state transitions in	GHz):
integrated vegetation-soil continuum with two-	Polarization: HH
day precision at the spatial scale of landscape	Resolution: 3 km
variability (~3 km)	Relative accuracy*: 0.7 dB (1 dB per
	channel if 2 channels are used)
	Constant incidence angle** between
	35° and 50°
Sample diurnal cycle at consistent time of day	Swath Width: ~1000 km
(6 am/6 pm Equator crossing);	Minimize Faraday rotation
Global, ~3 day (or better) revisit;	(degradation factor at L-band)
Boreal, ~2 day (or better) revisit	
Observation over minimum of three annual	Baseline three-year mission life
cycles	
* Includes precision and calibration stability	
** Defined without regard to local topographic v	ariation

The SMAP instrument incorporates an L-band radar and an L-band radiometer that share a single feedhorn and parabolic mesh reflector. As shown in Figure 1, the reflector is offset from nadir and rotates about the nadir axis at 14.6 rpm (nominal), providing a conically scanning antenna beam with a surface incidence angle of approximately 40°. The provision of constant incidence angle across the swath simplifies data processing and enables accurate repeat-pass estimates of soil moisture and freeze/thaw change. The reflector has a diameter of 6 m, providing a radiometer 3 dB antenna footprint of 40 km (root-ellipsoidal-area). The real-aperture radar footprint is 30 km, defined by the two-way antenna beamwidth. The real-aperture radar and radiometer data will be collected globally during both ascending and descending passes.

To obtain the desired high spatial resolution, the radar employs range and Doppler discrimination. The radar data can be processed to yield resolution enhancement to 1-3 km spatial resolution over the outer 70% of the 1000-km swath. Data volume constraints prohibit the

downlinking of the entire radar data acquisition. Radar measurements that enable high-resolution processing will be collected during the morning overpass over all land regions as well as over surrounding coastal oceans. During the evening overpass, radar data north of 45° N will be collected and processed to support robust detection of landscape freeze/thaw transitions. The SMAP baseline orbit parameters are:

- Orbit altitude: 685 km (2-3 day average revisit globally and 8-day exact repeat)
- Inclination: 98 degrees, sun-synchronous
- Local time of ascending node: 6 pm (6 am descending local overpass time)

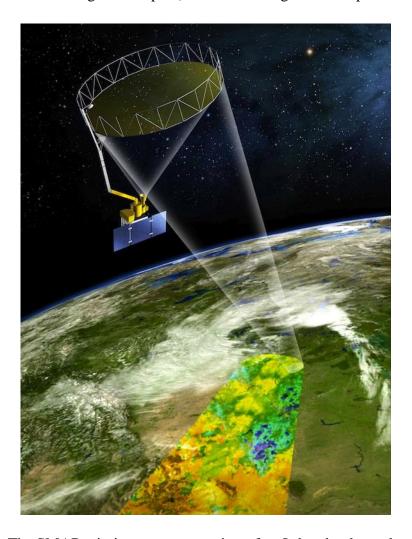


Figure 1: The SMAP mission concept consists of an L-band radar and radiometer sharing a single spinning 6-m mesh antenna in a sun-synchronous dawn / dusk orbit.

The SMAP radiometer measures the four Stokes parameters, TH, Tv, T3, and T4 at 1.41 GHz. The TH and Tv channels are the pure horizontally and vertically polarized brightness temperatures. The cross-polarized T3-channel measurement can be used to correct for possible

Faraday rotation caused by the ionosphere. Mission planners expect that the selection of the 6 am sun-synchronous SMAP orbit should minimize the effect of Faraday rotation.

Anthropogenic Radio Frequency Interference (RFI), principally from ground-based surveillance radars, can contaminate both radar and radiometer measurements at L-band. Early measurements and results from ESA's Soil Moisture and Ocean Salinity (SMOS) mission indicate that in some regions RFI is present and detectable. The SMAP radar and radiometer electronics and algorithms include design features to mitigate the effects of RFI. The SMAP radar utilizes selective filters and an adjustable carrier frequency to tune to predetermined RFI-free portions of the spectrum while on orbit. The SMAP radiometer will implement a combination of time and frequency diversity, kurtosis detection, and use of T4 thresholds to detect and where possible mitigate RFI.

NOTE: On July 7, 2015 the SMAP radar stopped operating, leaving the SMAP radiometer as the only operating instrument on the spacecraft. The following sections have been revised accordingly from the original PSD to acknowledge the current status of the SMAP observatory.

1.4 Data Products

The SMAP products represent four levels of data processing. Level 1 products contain instrument related data. Level 1 products appear in granules that are based on half orbits of the SMAP satellite. The northernmost and southernmost orbit locations demarcate half orbit boundaries. Level 2 products contain output from geophysical retrievals that are based on instrument data. Level 2 products also appear in half orbit granules. Level 3 products contain global output of the Level 2 geophysical retrievals for an entire day (24-hour period). Level 4 products contain output from geophysical models that employ SMAP data.

Table 2 lists the official SMAP data products. The SMAP Mission product short names were adopted by the SMAP mission to identify products. Users will find these short names in SMAP mission documentation, SMAP product file names, and in the product metadata.

Product	Description	Gridding (Resolution)	Latency**	
L1A_Radiometer	Radiometer Data in Time-Order	-	12 hrs	
L1A_Radar	Radar Data in Time-Order	-	12 hrs	
L1B_TB	Radiometer T _B in Time-Order	(36x47 km)	12 hrs	
L1B_TB_E	Radiometer T _B Optimally Interpolated on EASE2.0 grid	9 km	12 hrs	Instrument Data
L1B_S0_LoRes*	Low Resolution Radar σ_o in Time-Order	(5x30 km)	12 hrs	
L1C_S0_HiRes*	High Resolution Radar σ₀ in Half-Orbits	1 km (1-3 km)	12 hrs	
L1C_TB	Radiometer T _B in Half-Orbits	36 km	12 hrs	
L1C_TB_E	Radiometer T _B in Half-Orbits, Enhanced	9 km	12 hrs	
L2_SM_A*	Soil Moisture (Radar)	3 km	24 hrs	
L2_SM_P	Soil Moisture (Radiometer)	36 km	24 hrs	
L2_SM_P_E	Soil Moisture (Radiometer, Enhanced)	9 km	24 hrs	Science Data (Half-Orbit)
L2_SM_AP*	Soil Moisture (Radar + Radiometer)	9 km	24 hrs	(Hall-Orbit)
L2_SM_SP	Soil Moisture (Sentinel Radar + Radiometer)	3 km	Best effort	
L3_FT_A*	Freeze/Thaw State (Radar)	3 km	50 hrs	
L3_FT_P	Freeze/Thaw State (Radiometer)	36 km	50 hrs	
L3_FT_P_E	Freeze/Thaw State (Radiometer, Enhanced)	9 km	50 hrs	
L3_SM_A*	Soil Moisture (Radar)	3 km	50 hrs	Science Data (Daily Composite)
L3_SM_P	Soil Moisture (Radiometer)	36 km	50 hrs	(Daily Composite)
L3_SM_P_E	Soil Moisture (Radiometer, Enhanced)	9 km	50 hrs	
L3_SM_AP*	Soil Moisture (Radar + Radiometer)	9 km	50 hrs	
L4_SM	Soil Moisture (Surface and Root Zone)	9 km	7 days	Science
L4_C	Carbon Net Ecosystem Exchange (NEE)	9 km	14 days	Value-Added

Table 2: Standard and Enhanced SMAP data products

*only available from April 1 – July 7, 2015 due to the failure of the SMAP radar on July 7, 2015

1.5 L3_SM_P Overview

The SMAP L3_SM_P product is a daily global composite of the SMAP L2_SM_P product, which contains gridded data of 6:00 am (descending) and 6:00 pm (ascending) SMAP radiometer-based soil moisture retrieval, ancillary data, and quality-assessment flags on the global 36-km Equal-Area Scalable Earth (EASE) Grid 2.0 designed by the National Snow and Ice Data Center (NSIDC). To generate the SMAP L3_SM_P product the processing software ingests one day's worth of L2_SM_P granules and creates individual global composites as two-dimensional arrays for each output parameter defined in the L2_SM_P product. Descending (6:00 am) and ascending (6:00 pm) data are stored in separate arrays. Wherever data overlap occurs (typically at high latitudes due to overlapping orbits), the data point whose acquisition time is closest to the 6:00 am local solar time (for descending passes) or 6:00 pm local solar time (for ascending passes) is chosen.

2 DATA PRODUCT ORGANIZATION

2.1 File Format

All SMAP standard products are in the Hierarchical Data Format version 5 (HDF5). The HDF5 is a general-purpose file format and programming library for storing scientific data. The National Center for Supercomputing Applications (NCSA) at the University of Illinois developed HDF to help scientists share data more easily. Use of the HDF library enables users to read HDF files regardless of the underlying computing environments. HDF files are equally accessible in Fortran, C/C++, and other high-level computation packages such as IDL or MATLAB.

The HDF Group, a spin-off organization of the NCSA, is responsible for development and maintenance of HDF. Users should reference The HDF Group website at http://www.hdfgroup.org to download HDF software and documentation.

2.2 HDF5 Notation

HDF5 represents a significant departure from the conventions of previous versions of HDF. The changes that appear in HDF5 provide flexibility to overcome many of the limitations of previous releases. The basic building blocks have been largely redefined, and are more powerful but less numerous. The key concepts of the HDF5 Abstract Data Model are Files, Groups, Datasets, Datatypes, Attributes and Property Lists. The following sections provide a brief description of each of these key HDF5 concepts.

2.2.1 **HDF5** File

A File is the abstract representation of a physical data file. Files are containers for HDF5 Objects. These Objects include Groups, Datasets, and Datatypes.

2.2.2 **HDF5 Group**

Groups provide a means to organize the HDF5 Objects in HDF5 Files. Groups are containers for other Objects, including Datasets, named Datatypes and other Groups. In that sense, groups are analogous to directories that are used to categorize and classify files in standard operating systems.

The notation for files is identical to the notation used for Unix directories. The root Group is "/". A Group contained in root might be called "/myGroup." Like Unix directories, Objects appear in Groups through "links". Thus, the same Object can simultaneously be in multiple Groups.

2.2.3 HDF5 Dataset

The Dataset is the HDF5 component that stores user data. Each Dataset associates with a Dataspace that describes the data dimensions, as well as a Datatype that describes the basic unit of storage element. A Dataset can also have Attributes.

2.2.4 HDF5 Datatype

A Datatype describes a unit of data storage for Datasets and Attributes. Datatypes are subdivided into Atomic and Composite Types.

Atomic Datatypes are analogous to simple basic types in most programming languages. HDF5 Atomic Datatypes include Time, Bitfield, String, Reference, Opaque, Integer, and Float. Each atomic type has a specific set of properties. Examples of the properties associated with Atomic Datatypes are:

- Integers are assigned size, precision, offset, pad byte order, and are designated as signed or unsigned.
- Strings can be fixed or variable length, and may or may not be null-terminated.
- References are constructs within HDF5 Files that point to other HDF5 Objects in the same file.

HDF5 provides a large set of predefined Atomic Datatypes. Table 3 lists the Atomic Datatypes that are used in SMAP data products.

HDF5 Atomic Datatypes	Description
H5T_STD_U8LE	unsigned, 8-bit, little-endian integer
H5T_STD_U16LE	unsigned, 16-bit, little-endian integer
H5T_STD_U32LE	unsigned, 32-bit, little-endian integer
H5T_STD_U64LE	unsigned, 64-bit, little-endian integer
H5T_STD_I8LE	signed, 8-bit, little-endian integer
H5T_STD_I16LE	signed, 16-bit, little-endian integer
H5T_STD_I32LE	signed, 32-bit, little-endian integer
H5T_STD_I64LE	Signed, 64-bit, little-endian integer
H5T_IEEE_F32LE	32-bit, little-endian, IEEE floating point
H5T_IEEE_F64LE	64-bit, little-endian, IEEE floating point
H5T_C_S1	character string made up of one or more bytes

 Table 3: HDF5 Atomic Datatypes

Composite Datatypes incorporate sets of Atomic datatypes. Composite Datatypes include Array, Enumeration, Variable Length and Compound.

- The Array Datatype defines a multi-dimensional array that can be accessed atomically.
- Variable Length presents a 1-D array element of variable length. Variable Length Datatypes are useful as building blocks of ragged arrays.

• Compound Datatypes are composed of named fields, each of which may be dissimilar Datatypes. Compound Datatypes are conceptually equivalent to structures in the C programming language.

Named Datatypes are explicitly stored as Objects within an HDF5 File. Named Datatypes provide a means to share Datatypes among Objects. Datatypes that are not explicitly stored as Named Datatypes are stored implicitly. They are stored separately for each Dataset or Attribute they describe. None of the SMAP data products employ Enumeration or Compound data types.

2.2.5 HDF5 Dataspace

A Dataspace describes the rank and dimension of a Dataset or Attribute. For example, a "Scalar" Dataspace has a rank of 1 and a dimension of 1. Thus, all subsequent references to "Scalar" Dataspace in this document imply a single dimensional array with a single element.

Dataspaces provide considerable flexibility to HDF5 products. They incorporate the means to subset associated Datasets along any or all of their dimensions. When associated with specific properties, Dataspaces also provide the means for Datasets to expand as the application requires.

2.2.6 HDF5 Attribute

An Attribute is a small aggregate of data that describes Groups or Datasets. Like Datasets, Attributes are also associated with a particular Dataspace and Datatype. Attributes cannot be subsetted or extended. Attributes themselves cannot have Attributes.

2.3 SMAP File Organization

2.3.1 Structure

SMAP data products follow a common convention for all HDF5 Files. Use of this convention provides uniformity of data access and interpretation.

The SMAP Project uses HDF5 Groups to provide an additional level of data organization. All metadata that pertain to the complete data granule are members of the "/Metadata" Group. All other data are organized within Groups that are designed specifically to handle the structure and content of each particular data product.

2.3.2 **Data**

All data in HDF5 files are stored in individual Datasets. All of the Datasets in an SMAP product are assigned to an HDF5 Group. A standard field name is associated with each Dataset. The field name is a unique string identifier. The field name corresponds to the name of the data element the Dataset stores. This document lists these names with the description of each data element that they identify.

Each Dataset is associated with an HDF5 Dataspace and an HDF5 Datatype. They provide a minimally sufficient set of parameters for reading the data using standard HDF5 tools.

2.3.3 Element Types

SMAP HDF5 employs the Data Attribute "Type" to classify every data field as a specific data type. The "Type" is an embellishment upon the standard HDF5 Datatypes that is designed specifically to configure SMAP data products.

Table 4 lists all of the "Type" strings that appear in the SMAP data products. The table maps each SMAP "Type" to a specific HDF5 Datatype in both the HDF5 file and in the data buffer. The table also specifies the common conceptual data type that corresponds to the "Type" in SMAP executable code.

Conceptual **Type HDF5 Datatype (File) HDF5 Datatype (Buffer) Type** unsigned integer Unsigned8 H5T_STD_U8LE H5T_NATIVE_UCHAR Unsigned16 H5T_STD_U16LE H5T_NATIVE_USHORT unsigned integer unsigned integer Unsigned24 H5T STD U16LE, **H5T NATIVE INT** with precision set to 24 bits, and size set to 3 bytes. H5T_STD_U32LE H5T_NATIVE_UINT Unsigned32 unsigned integer Unsigned64 **H5T NATIVE ULLONG** unsigned integer H5T STD U64LE signed integer Signed8 H5T STD I8LE **H5T NATIVE SCHAR** Signed16 H5T_STD_I16LE H5T_NATIVE_SHORT signed integer Signed32 H5T STD I32LE **H5T NATIVE INT** signed integer Signed64 H5T STD I64LE **H5T NATIVE LLONG** signed integer H5T_NATIVE_FLOAT Float32 H5T IEEE F32LE floating point H5T_IEEE_F64LE **H5T NATIVE DOUBLE** Float64 floating point H5T C S1 character string FixLenStr **H5T NATIVE CHAR** VarLenStr H5T_C_S1, where the H5T_NATIVE_CHAR character string length is set to **H5T VARIABLE**

 Table 4: Element Type Definitions

SMAP HDF5 files employ two different types of string representation. "VarLenStr" are strings of variable length. "VarLenStr" provides greater flexibility to represent character strings. In an effort to make SMAP HDF5 more friendly to users who wish to use netCDF software, SMAP products restrict the use of "VarLenStr". "FixLenStr" are strings with a prescribed fixed-length. "FixLenStr" are useful for fixed length strings that are stored in large multi-dimension array. UTC time stamps are an excellent example of the type of data that store well in a "FixLenStr".

2.3.4 File Level Metadata

All metadata that describe the full content of each granule of the SMAP data product are stored within the explicitly named "/Metadata" Group. SMAP metadata are handled using exactly the same procedures as those that are used to handle SMAP data. The contents of each Attribute that stores metadata conform to one of the SMAP Types. Like data, each metadata element is also assigned a shape. Most metadata elements are stored as scalars. A few metadata elements are stored as arrays.

SMAP data products represent file level metadata in two forms. One form appears in one or more Attributes within the Metadata Group. Combined, those Attributes contain a complete representation of the product metadata. The content conforms to the ISO 19115-2 models in ISO 19139 compliant XML.

The second form of the metadata appears in a set of HDF5 Groups under the "/Metadata" Group. Each of these HDF5 Groups represents one of the major classes in the ISO 19115-2 model. These HDF5 Groups contain a set of HDF5 Attributes. Each HDF5 Attributes represents a specific ISO attribute of the associated ISO class. Although this representation inherits design from the ISO model, it does not completely conform to the model. In many cases, the names of the HDF5 Attributes match those used in the ISO model. In some situations, names were changed to provide greater clarity to SMAP users who are not familiar with the ISO model. Furthermore, to ease metadata searches, the structure of Groups within Groups was limited to four levels.

2.3.5 Local Metadata

SMAP standards incorporate additional metadata that describe each HDF5 Dataset within the HDF5 file. Each of these metadata elements appear in an HDF5 Attribute that is directly associated with the HDF5 Dataset. Wherever possible, these HDF5 Attributes employ names that conform to the Climate and Forecast (CF) conventions. Table 5 lists the CF names for the HDF5 Attributes that SMAP products typically employ.

CF Compliant Attribute Name	Description	Required?
units	Units of measure.	Yes
valid_max	The largest valid value for any element in the Dataset. The data type in valid_max matches the type of the associated Dataset. Thus, if the associated Dataset stores float32 values, the corresponding valid_max will also be float32.	No
valid_min	The smallest valid value for any element in the Dataset. The data type in valid_min matches the type of the associated Dataset. Thus, if the associated Dataset stores float32 values, the	No

 Table 5: SMAP Specific Local Attributes

CF Compliant Attribute Name	Description	Required?
	corresponding valid_min will also be float32.	
_FillValue	Specification of the value that will appear in the Dataset when an element is missing or undefined. The data type of _FillValue matches the type of the associated Dataset. Thus, if the associated Dataset stores float32 values, the corresponding _FillValue will also be float32.	Yes for all numeric data types
long_name	A descriptive name that clearly describes the content of the associated Dataset.	Yes
coordinates	Identifies auxiliary coordinate variables in the data product.	No
flag_values	Provides a list of flag values that appear in bit flag variables. Should be used in conjunction with local HDF5 attribute <i>flag_meanings</i> . Only appears with bit flag variables.	No
flag_masks	Provides a list of bit fields that express Boolean or enumerated flags. Only appears with bit flag variables or enumerated data types.	No
flag_meanings	Provides descriptive words or phrases for each potential bit flag value. Should be used in conjunction with local HDF5 attribute <i>flag_values</i> .	No

2.4 Data Definition Standards

Section 4.6 of this document specifies the characteristics and definitions of every data element stored in this SMAP data product. Table 6 defines each of the specific characteristics that are listed in that section of this document. Some of these characteristics correspond with the SMAP HDF5 Attributes that are associated with each Dataset. Data element characteristics that correspond to SMAP HDF5 Attributes bear the same name. The remaining characteristics are descriptive data that help users better understand the data product content.

In some situations, a standard characteristic may not apply to a data element. In those cases, the field contains the character string 'n/a'. Hexadecimal representation sometimes indicates data content more clearly. Numbers represented in hexadecimal begin with the character string '0x'.

Table 6: Data Element Characteristic Definitions

Characteristic	Definition
Type	The data representation of the element within the storage medium. The
	storage class specification must conform to a valid SMAP type. The
	first column in table 3 lists all of the valid values that correspond to this
	characteristic.

Characteristic	Definition	
Shape	The name of the shape data element that specifies the rank and	
	dimension of a particular data set.	
Valid_min	The expected minimum value for a data element. In most instances, data	
	element values never fall below this limit. However, some data	
	elements, particularly when they do not reflect normal geophysical	
	conditions, may contain values that fall below this limit.	
Valid_max	The expected maximum value for a data element. In most instances,	
	data element values never exceed this limit. However, some data	
	elements, particularly when they do not reflect normal geophysical	
	conditions, may contain values that exceed this limit.	
Valid Values	Some data elements may store a restricted set of values. In those	
	instances, this listing specifies the values that the data element may	
	store.	
Nominal	Some data elements have an expected value. In those instances, this	
Value	listing provides that expected value. Nominal values are particularly	
	common among a subset of the metadata elements.	
String Length	This characteristic specifies the length of the data string that represents	
	a single instance of the data element. This characteristic appears	
	exclusively for data elements of FixLenStr type.	
Units	Units of measure. Typical values include "deg", "deg C", "Kelvins",	
	"m/s", "m", "m**2", "s" and "counts".	

2.4.1 Array Representation

This document employs array notation to demonstrate and clarify the correspondence among data elements in different product data elements. The array notation adopted in this document is similar to the standards of the Fortran programming language. Indices are one based. Thus, the first index in each dimension is one. This convention is unlike C or C++, where the initial index in each dimension is zero. In multidimensional arrays, the leftmost subscript index changes most rapidly. Thus, in this document, array elements ARRAY(15,1,5) and ARRAY(16,1,5) are stored contiguously.

HDF5 is designed to read data seamlessly regardless of the computer language used to write an application. Thus, elements that are contiguous using the dimension notation in this document will appear in contiguous locations in arrays for reading applications in any language with an HDF5 interface.

This document differentiates among array indices based on relative contiguity of storage of elements referenced with consecutive numbers in that index position. A faster or fastest moving index implies that the elements with consecutive numbers in that index position are stored in relative proximity in memory. A slower or slowest moving index implies that the elements referenced with consecutive indices are stored more remotely in memory. For instance, given array element ARRAY(15,1,5) in Fortran, the first index is the fastest moving index and the third

index is the slowest moving index. On the other hand, given array element array[4][0][14] in C, the first index is the slowest moving index and the third index is the fastest moving index.

2.5 Fill/Gap Values

Unsigned32

Unsigned64

FixedLenString, VarLenString

SMAP data products employ fill and gap values to indicate when no valid data appear in a particular data element. Fill values ensure that data elements retain the correct shape. Gap values locate portions of a data stream that do not appear in the output data file.

Fill values appear in the SMAP L3_SM_P Product when the L3_SM_P SPS can process some, but not all, of the input data for a particular swath grid cell. Fill data may appear in the product in any of the following circumstances:

- One of Science Production Software (SPS) executables that generate the SMAP L3_SM_P Product is unable to calculate a particular science or engineering data value. The algorithm encounters an error. The error disables generation of valid output. The SPS reports a fill value instead.
- Some of the required science or engineering algorithmic input are missing. Data over the region that contributes to particular grid cell may appear in only some of the input data streams. Since data are valuable, the L3_SM_P Product records any outcome that can be calculated with the available input. Missing data appear as fill values.
- Non-essential information is missing from the input data stream. The lack of non-essential information does not impair the algorithm from generating needed output. The missing data appear as fill values.
- Fill values appear in the input L2_SM_P product.

SMAP data products employ a specific set of data values to connote that an element is fill. The selected values that represent fill are dependent on the data type. Table 7 lists the values that represent fill in SMAP products based on data type:

Type	Value	Pattern
Float32, Float64	-99999	Large, negative number
Signed8, NormSigned8	-127	Type minimum + 1
Signed16, NormSigned16	-32767	Type minimum + 1
Signed24	-8388607	Type minimum + 1
Signed32	-2147483647	Type minimum + 1
Signed64	-9223372036854775807	Type minimum + 1
Unsigned8	254	Type maximum - 1
Unsigned16	65534	Type maximum - 1
Unsigned24	16777214	Type maximum - 1

Type maximum - 1

Type maximum - 1

Not available

Table 7: Fill Values in SMAP Data Products

18446744073709551614

4294967294

No valid value in the L3_SM_P product is equal to the values that represent fill. If any exceptions should exist in the future, the L3_SM_P content will provide a means for users to discern between elements that contain fill and elements that contain genuine data values. This document will also contain a description of the method used to ascertain which elements are fill and which elements are genuine.

The L3_SM_P product records gaps when entire frames within the time span of a particular data granule do not appear. Gaps can occur under one of two conditions:

- One or more complete frames of data are missing from all data streams.
- The subset of input data that is available for a particular frame is not sufficient to process any frame output.

The L2_SM_P Product records gaps in the product level metadata. The following conditions will indicate that no gaps appear in the data product:

- Only one instance of the attributes *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* will appear in the product metadata.
- The character string stored in metadata element *Extent/rangeBeginningDateTime* will match the character string stored in metadata element *OrbitMeasuredLocation/halfOrbitStartDateTime*.
- The character string stored in metadata element *Extent/rangeEndingDateTime* will match the character string stored in metadata element *OrbitMeasuredLocation/halfOrbitStopDateTime*.

One of two conditions will indicate that gaps appear in the data product:

- The time period covered between *Extent/rangeBeginningDateTime* and *Extent/RangeEndingDateTime* does not cover the entire half orbit as specified in *OrbitMeasuredLocation/halfOrbitStartDateTime* and *OrbitMeasuredLocation/halfOrbitStartDateTime*.
- More than one pair of *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* appears in the data product. Time periods within the time span of the half orbit that do not fall within the sets of *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* constitute data gaps.

2.6 Flexible Data Design

HDF5 format gives the SMAP Level Products a high degree of flexibility. This flexibility in turn gives SMAP end product users the capability to write software that does not need to be modified to accommodate unforeseeable changes in the SMAP products. Since changes to the products are certain to take place over the life of the SMAP mission, users are encouraged to use software techniques that take advantage of some of the features in HDF5.

For example, users can write a product reader that selects only those product data elements they wish to read from an SMAP Level Product file. With the appropriate design, this software will not need to change, regardless of the number, the size, or the order of the current data

product entries. Indeed, the only changes users need to implement would take place if they should choose to read a newly defined data element after a product upgrade.

For those users who wish to extract a specific subset of the data from an SMAP Product, the HDF5 routines H5Dopen and H5Dread (h5dopen_f and h5dread_f in FORTRAN) are very useful. H5Dopen requires two input parameters, the first is an HDF5 file/group identifier, the second is a character string that contains the name of a Dataset. H5Dopen returns the identifier for the specified Dataset in the product file. HDF5 routine H5Dread then uses the Dataset identifier to fetch the contents. H5Dread places the contents of the Dataset in a specified output variable.

Once the data element is located and read, users can generate standardized code that reads the metadata associated with each element. Users of the SMAP Level Products should employ the same methods to read metadata and standard data elements.

3 EASE Grid 2.0

The data in the SMAP L3_SM_P product are presented on a 36-km global cylindrical projection. The projection is based on the NSIDC's EASE Grid 2.0 specifications for SMAP. The EASE Grid 2.0 has a flexible formulation. By adjusting one scaling parameter it is possible to generate a family of multi-resolution grids that "nest" within one another. The nesting can be made "perfect" in that smaller grid cells can be tessellated to form larger grid cells, as shown in Fig. 2.

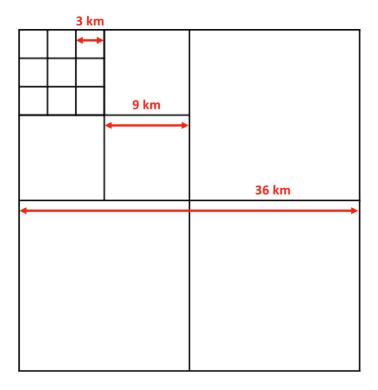


Figure 2: Perfect nesting in EASE Grid 2.0 – smaller grid cells can be tessellated to form larger grid cells.

This feature of perfect nesting provides SMAP data products with a convenient common projection for both high-resolution radar observations and low-resolution radiometer observations, as well as their derived geophysical products.

A nominal EASE Grid 2.0 dimension of 36 km has been selected for the L1C_TB and L2/3_SM_P products. This spatial scale is close to the 40-km resolution of the radiometer footprint and it scales conveniently with the 3 km and 9 km grid dimensions that have been selected for the radar (L2/3_SM_A) and combined radar/radiometer (L2/3_SM_AP) soil moisture products, respectively. A comparison of EASE Grid 2.0 at these three grid resolutions is shown in Fig. 3.

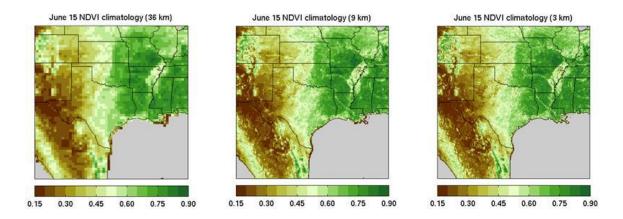


Figure 3: Example of ancillary NDVI climatology data displayed on the SMAP 36-km, 9-km, and 3-km grids.

The 36-km global cylindrical EASE Grid 2.0 projection is shown in Fig. 4 below. Each grid cell has a nominal area of about 36×36 km₂, regardless of longitudes and latitudes. Under this projection, all global data arrays have dimensions of 406 rows and 964 columns.

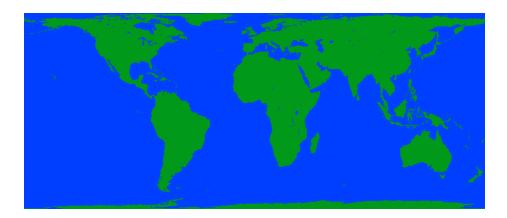


Figure 4: Global Cylindrical EASE Grid 2.0 projection (Figure credited to NSIDC)

4 PRODUCT DEFINITION

4.1 Overview

The SMAP L3_SM_P product is a daily global composite of the SMAP L2_SM_P product, which contains gridded data of 6:00 am (descending) and 6:00 pm (ascending) SMAP radiometer-based soil moisture retrieval, ancillary data, and quality-assessment flags on the global 36-km Equal-Area Scalable Earth (EASE) Grid 2.0 designed by the National Snow and Ice Data Center (NSIDC). To generate the SMAP L3_SM_P product the processing software ingests one day's worth of L2_SM_P granules and create individual global composites as two-dimensional arrays for each output parameter defined in the L2_SM_P product. Descending (6:00 am) and ascending (6:00 pm) data are stored in separate arrays. Wherever data overlap occurs (typically at high latitudes due to overlapping orbits), the data point whose acquisition time is closest to the 6:00 am local solar time (for descending passes) or 6:00 pm local solar time (for ascending passes) is chosen.

4.2 Product Names

L3_SM_P data product file names conform to the following convention:

SMAP_L3_SM_P_[Orbit Number]_[First Date/Time Stamp]_[Composite Release ID] [Product Counter].[extension]

Example: SMAP_L3_SM_P_00934_20141225T074951_ R00400 002.h5

Orbit Number A five-digit sequential number of the orbit flown by the SMAP

spacecraft when the data was acquired. Orbit 0 begins at launch.

First Date/Time

Stamp

Date/time stamp in Universal Coordinated Time (UTC) of the first data element that appears in the product. The stamp conforms to the

YYYYMMDDThhmmss convention.

Composite Release ID An ID that incorporates changes to any processing condition that might impact product results. The Composite Release ID contains three other shorter ID's: [R][Launch Indicator][Major ID][Minor ID]. The Launch Indicator distinguishes between pre-launch or pre-instrument commissioned data. ('0' for simulated or preliminary observations whereas '1' for observations at or after the time of instrument commissioning) A two-digit Major ID indicates major releases due to changes in algorithm or processing approach. A two-digit Minor ID indicates minor releases due to changes not

considered by a change in Major ID.

Product A three-digit counter that tracks the number of times that a

Counter particular product type for a specific half orbit has been generated.

Extension '.h5' for science product data and '.qa' for QA product data.

4.3 Volume

The following estimates represent the combined data volume of metadata and the actual science data of the product:

Daily volume: 31.5 MBytes

Yearly volume: 11.5 GBytes

4.4 L3 SM P Product Metadata

The metadata elements in the L3_SM_P product appear in two forms. One form appears in one or more Attributes within the Metadata Group. Combined, those Attributes contain a complete representation of the product metadata. The content conforms to the ISO 19115-2 models in ISO 19139 compliant XML.

The second form of the metadata appears in a set of HDF5 groups under the Metadata Group. Each of these HDF5 Groups represents one of the major classes in the ISO structure. These groups contain a set of HDF5 attributes. Each HDF5 Attribute set represents a specific ISO attribute of the associated ISO class. Although this representation inherits design from the ISO model, it does not completely conform to the model. In many cases, the names of the HDF5 Attributes match those used in the ISO model. In some situations, names were changed to provide greater clarity to SMAP users who are not familiar with the ISO model. Furthermore, to ease metadata searches, the structure of Groups within Groups was limited to four levels.

Table 8 describes the subgroups of the Metadata group, and the attributes within each group. The first column of table 8 specifies a major class in the ISO 19115 metadata model. The second column provides the name of the HDF5 Group under "/Metadata" where attributes associated with the corresponding class will appear. The third column lists the names of the subgroups and attributes where specific metadata values appear. The fourth column provides valid values for each element. Constant values appear with no diacritical marks. Variable values are encapsulated by angle brackets. All of the metadata elements that appear in table 8 should also appear in every L3_SM_P Product file.

Table 8: Granule Level Metadata in the L3_SM_P Product

Representative ISO Class	SMAP HDF5 Metadata Subgroup	SMAP HDF5 Subpath	SMAP HDF5 Attribute	Definition
			antennaRotationRate	<the (rpm)="" antenna="" in="" minute="" per="" rate="" revolution="" rotation=""></the>
	pl	platform	description	The SMAP observatory houses an L-band radiometer that operates at 1.40 GHz and an L-band radar that operates at 1.26 GHz. The instruments share a rotating reflector antenna with a 6 meter aperture that scans over a 1000 km swath. The bus is a 3 axis stabilized spacecraft that provides momentum compensation for the rotating antenna.
			identifier	SMAP
MD_AcquisitionInformation	AcquisitionInformation	radar, radiometer	description	The SMAP radar instrument employs an L-band conically scanned system and SAR processing techniques to achieve moderate resolution (1 km) backscatter measurements over a very wide 1000 km swath.
	1		identifier	SMAP SAR
			type	L-band Synthetic Aperture Radar
	platformDocument, radarDocument,		edition	<the available="" document,="" edition="" general="" if="" of="" public.="" publication="" reference="" the="" to=""></the>
			publicationDate	<the available="" date="" document,="" general="" if="" of="" public.="" publication="" reference="" the="" to=""></the>
			title	<the available="" document,="" general="" if="" of="" public.="" publication="" reference="" the="" title="" to=""></the>
			evaluationMethodType	<the "directinternal"="" a="" all="" based="" being="" data="" dataset="" dataset,="" evaluated.="" evaluating="" evaluation="" inspection="" internal="" is="" items="" means="" method="" method.="" of="" on="" quality="" required="" the="" to="" type="" where="" within=""></the>
		Domain Consisten or	measureDescription	<the consistency="" description="" domain="" measurement.="" of="" the=""></the>
DQ_DataQuality	DataQuality DomainConsistency	DomainConsistency	nameOfMeasure	<the measurements="" name="" of="" the=""></the>
			unitOfMeasure	Percent
			value	<a 0="" 100="" and="" between="" measure="">
		CompletenessOmission	evaluationMethodType	<the "directinternal"<="" data="" evaluation="" method.="" of="" p="" quality="" type=""></the>

				means the method of evaluating the quality of a dataset based on inspection of items within the dataset, where all data required is internal to the dataset being evaluated.>
			measureDescription	<the completeness="" description="" measurement.="" of="" omission="" the=""></the>
			nameOfMeasure	Percent of Missing Data
			unitOfMeasure	Percent
			value	<a 0="" 100="" and="" between="" measure="">
			scope	<a are="" data="" elements="" for<br="" list="" of="" product,="" that="" the="" used="">DataQuality measurement>
			CompositeReleaseID	<smap associated="" composite="" data="" id="" product="" release="" this="" with=""></smap>
			ECSVersionID	<identifier delivered="" ecs<br="" major="" specifies="" that="" to="" version="">(EOSDIS Core System). Value runs from 001 to 999></identifier>
			SMAPShortName	<the data="" mission="" name="" of="" product="" product.="" short="" smap="" this=""></the>
				
			abstract	
			characterSet	utf8
			creationDate	<date created="" data="" file="" product="" this="" was="" when=""></date>
DS_Dataset/	DatasetIdentification		credit	<identify authorship="" generation<br="" institutional="" of="" product="" the="">software and the data system that automates its production.></identify>
MD_DataIdentification	Datasettdentification		fileName	<the data="" file.="" name="" of="" product="" this=""></the>
			language	eng
			originatorOrganizationName	Jet Propulsion Laboratory
			otherCitationDetails	<the description="" generation="" of="" product="" software<br="" state="" the="">for this data product file.></the>
			purpose	<the data="" description="" file.="" of="" product="" purpose="" the="" this=""></the>
			shortName	<the 8="" characters.="" data="" ecs="" in="" name="" of="" product="" short="" this=""></the>
			spatialRepresentationType	grid
			status	onGoing
			topicCategory	geoscientificInformation
			description	<the and="" data="" description="" extents="" of="" product.="" spatial="" temporal="" the=""></the>
EX_Extent	Extent		eastBoundLongitude	<the (longitude="" -180="" 180="" and="" between="" boundary="" covers="" data="" degrees="" degrees)="" eastern="" extent="" measure="" most="" of="" product="" spatial="" the=""></the>

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			northBoundLatitude	<the boundary="" data<br="" extent="" most="" northern="" of="" spatial="" the="">product covers (Latitude measure between -90 degrees and 90 degrees)></the>
			rangeBeginningDateTime	<character and="" data="" date="" element="" in="" indicates="" initial="" of="" product="" string="" that="" the="" time=""></character>
			rangeEndingDateTime	<character and="" data="" date="" element="" final="" in="" indicates="" of="" product.="" string="" that="" the="" time=""></character>
			southBoundLatitude	<the boundary="" data<br="" extent="" most="" of="" southern="" spatial="" the="">product covers (Latitude measure between -90 degrees and 90 degrees)></the>
			westBoundLongitude	<the boundary="" data<br="" extent="" most="" of="" spatial="" the="" western="">product covers (Longitude measure between -180 degrees and 180 degrees)></the>
			edition	<the definition="" document="" grid="" of="" the="" version=""></the>
		GridDefinitionDocument	publicationDate	<the date="" definition="" document="" grid="" of="" publication="" the=""></the>
		GridDermitionDocument	title	<the definition="" document="" grid="" of="" the="" title=""></the>
		Column,	dimensionSize	<the arrays="" dimension="" in="" of="" size="" specific<br="" the="" this="">projection are organized in this data product file></the>
		Row	resolution	<the data="" each="" in="" kilometer="" point="" represents,="" resolution="" spatial=""></the>
		GridDefinition	description	<the applied="" data="" definition="" description="" for="" generation="" grid="" of="" product="" the=""></the>
MD_GridSpatialRepresentat ion	GridSpatialRepresentation		identifier	<the data="" definition="" grid="" identifying="" name="" of="" product="" short="" the="" this=""></the>
			cellGeometry	<indication area="" as="" data="" grid="" of="" or="" point=""></indication>
			controlPointAvailability	<indication (0="" 1="" and="" are="" available="" available)="" control="" implies="" not="" of="" or="" points="" whether=""></indication>
			georeferencedParameters	<the conversion="" for="" geographic="" information="" interest="" location="" map="" of="" parameters="" projection="" the="" to="" used=""></the>
			numberOfDimensions	<the arrays="" dimensions="" in="" number="" of="" specific<br="" the="" this="">projection are organized in this data product file></the>
			orientationParameterAvailability	<indication are<br="" not="" of="" or="" orientation="" parameters="" whether="">available (0 implies not available and 1 implies available)></indication>
			transformationParameterAvailability	<the for="" indication="" of="" parameters="" the="" transformation<br="" whether="">exists or not (0 implies not available and 1 implies available)></the>
LI_Lineage/LE_Source	Lineage	L2_SM_P	DOI	<a associated="" digital="" identifier="" input="" object="" p="" product.<="" the="" with=""> This field appears only for the Lineage class that describes the

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				SMAP science data product.>
			creationDate	<date corresponding="" created="" file="" input="" product="" the="" was="" when=""></date>
			description	<description data<br="" each="" files="" generate="" input="" of="" the="" this="" to="" used="">product.></description>
			fileName	<the corresponding="" file.="" input="" name="" of="" product="" the=""></the>
			identifier	<the associated="" data="" input="" name="" product.="" science="" short="" smap="" the="" with=""></the>
			resolution	<the data="" each="" in="" kilometer="" point="" represents,="" resolution="" spatial=""></the>
			version	<the associated="" composite="" data="" id="" input="" product.="" smap="" the="" version="" with=""></the>
			creationDate	<date ancillary="" corresponding="" created="" file="" input="" the="" was="" when=""></date>
		EASEGRID_LON_M,	description	<description ancillary="" data="" each="" file="" generate="" input="" of="" product.="" this="" to="" used=""></description>
		InputConfiguration, MetadataConfiguration,	fileName	<the ancillary="" file.="" input="" name="" of="" the=""></the>
		OutputConfiguration,	version	<the ancillary="" file.="" input="" number="" of="" the="" version=""></the>
		RunConfiguration		
			startRevNumber	<the among="" granules="" input="" lowest="" number="" orbit="" product="" the=""></the>
SD_OrbitMeasuredLocation	OrbitMeasuredLocation		stopRevNumber	<the among="" granules="" highest="" input="" number="" orbit="" product="" the=""></the>
_			A STATE OF THE STA	
			ATBDDate	<time atbd="" date="" of="" release="" specifies="" stamp="" that="" the=""></time>
			ATBDTitle	<the atbd="" of="" the="" title=""></the>
			ATBDVersion	<version atbd.="" for="" identifier="" the=""></version>
			SWVersionID	<a 001="" 999="" from="" identifier="" runs="" software="" that="" to="" version="">
			algorithmDate	<date algorithm.="" associated="" current="" of="" the="" version="" with=""></date>
LI_Lineage/LE_ProcessStep	ProcessStep		algorithmDescription	<descriptive about="" algorithm(s)="" in="" product<br="" text="" the="">generation software for this data product.></descriptive>
LI_Lineage/LE_ProcessStep P	Trocessorep		algorithmTitle	<the algorithm="" data="" for="" name="" of="" product.="" representative="" the="" this=""></the>
			algorithmVersionID	<identifier 001="" 999="" algorithm="" current="" from="" runs="" specifies="" that="" the="" to="" value="" version.=""></identifier>
			documentDate	<release date="" description="" document.="" for="" software="" the=""></release>
			documentVersion	< Version identifier for the software description document.>
			documentation	
			epochJulianDate	<julian 2451545="" date="" epoch="" j2000,="" of="" the=""></julian>

		epochUTCDateTime	<utc 2000-01-<br="" date="" epoch="" j2000,="" of="" the="" time="">01T11:58:55.816Z></utc>
		identifier	<name data="" for="" generation="" of="" product="" software="" the="" this=""></name>
		parameterVersionID	<identifier current="" of="" processing<br="" specifies="" that="" the="" version="">parameters. Value runs from 001 to 999.></identifier>
		processDescription	<short by="" concept="" data="" description="" generation="" of="" processing="" product="" software.="" the=""></short>
		processor	<name facility="" generation="" of="" product="" the=""></name>
		softwareDate	
		softwareTitle	<the facility="" generation="" of="" product="" the="" title=""></the>
		stepDateTime	< A character string that specifies the date and the time when the product was generated.>
		timeVariableEpoch	<the epoch="" for="" mission="" of="" smap="" the="" time="" variable=""></the>
		SMAPShortName	<the data="" mission="" name="" of="" product="" product.="" short="" smap="" this=""></the>
		characterSet	utf8
DS_Series/MD_DataIdentifi	ProductSpecificationDocum ent	edition	<edition document="" for="" identifier="" product="" specification="" the=""></edition>
cation		language	eng
		publicationDate	<date document="" of="" product="" publication="" specification="" the=""></date>
		title	<the document="" of="" product="" specification="" the="" title=""></the>
		MissingSamples	<the data="" in="" missing="" number="" of="" products="" samples="" this=""></the>
DQ_DataQuality	QA	OutOfBoundsSamples	<the are="" boundary="" exceeding="" number="" of="" predefined="" samples="" that="" the=""></the>
DQ_DataQuality		QAPercentOutOfBoundsData	<percent are="" exceeding="" of="" predefined<br="" samples="" that="" the="">boundary with respect tot the total samples in this data product></percent>
		TotalSamples	<the all="" data="" in="" number="" of="" product="" samples="" this=""></the>
DS_Dataset/MD_DataIdenti	QADatasetIdentification	abstract	An ASCII product that contains statistical information on data product results. These statistics enable data producers and users to assess the quality of the data in the data product granule.
fication	Q/ (Dataserraentification	creationDate	<the date="" generated.="" product="" qa="" that="" the="" was=""></the>
		fileName	<the name="" of="" product.="" qa=""></the>
DS_Series/MD_DataIdentifi	SeriesIdentification	CompositeReleaseID	<smap composite="" id="" identifies="" release="" that="" the="" to<br="" used="">generate this data product></smap>
cation	Soliestentification	ECSVersionID	<identifier 001="" 999="" delivered="" ecs.="" from="" major="" runs="" specifies="" that="" to="" value="" version=""></identifier>

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		abstract	
		characterSet	utf8
		credit	<identify and="" authorship="" automates="" data="" generation="" institutional="" its="" of="" product="" production.="" software="" system="" that="" the=""></identify>
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		formatVersion	<the for="" generation="" hdf5="" library="" of="" product="" the="" used="" version=""></the>
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		language	eng
		longName	<the (up="" 80="" characters="" data="" long="" long)="" name="" of="" product="" this="" to=""></the>
		maintenanceAndUpdateFrequency	As needed
		maintenanceDate	<specifies a="" anticipated="" be="" date="" might="" next="" product="" the="" this="" to="" update="" when=""></specifies>
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		otherCitationDetails	<the description="" generation="" of="" product="" software<br="" state="" the="">for this data product file.></the>
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		purpose	<the data="" description="" file.="" of="" product="" purpose="" the="" this=""></the>
		resourceProviderOrganizationName	National Aeronautics and Space Administration
		revisionDate	<date and="" generate<br="" of="" release="" software="" that="" the="" time="" to="" used="" was="">this data product.></date>
	_	shortName	<the 8="" characters.="" data="" ecs="" in="" name="" of="" product="" short="" this=""></the>
		spatialRepresentationType	grid
		status	Ongoing
		topicCategory	geoscientificInformation

tb_v_corrected

tb_3_corrected

4.5 Data Structure

The SMAP L3_SM_P product is a daily global composite of the SMAP L2_SM_P product, which contains gridded data of 6:00 am (descending) and 6:00 pm (ascending) SMAP radiometer-based soil moisture retrieval, ancillary data, and quality-assessment flags on the global 36-km Equal-Area Scalable Earth (EASE) Grid 2.0 designed by the National Snow and Ice Data Center (NSIDC). To generate the SMAP L3_SM_P product the processing software ingests one day's worth of L2_SM_P granules and create individual global composites as two-dimensional arrays for each output parameter defined in the L2_SM_P product. Descending (6:00 am) and ascending (6:00 pm) data are stored in separate arrays. This organization is reflected schematically in Fig. 5. All data elements appear in the HDF5 Global Projection Group.

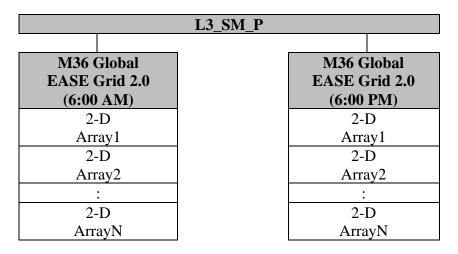


Figure 5: L3_SM_P data organization.

Table 9 describes the output parameters of a typical L3_SM_P granule based on its associated L2_SM_P half-orbit granules acquired within a day. All data element arrays are two-dimensional with 406 rows and 964 columns.

	•				
Output Parameter	Precision	Byte	Unit	Method	Section
grid_surface_status	Uint16	2	N∖A	7	4.6.1
latitude	Float32	4	Degree	2	4.6.2
longitude	Float32	4	Degree	2	4.6.3
tb_time_seconds	Float64	8	Second	1	4.6.4
tb_time_utc	Char24	24	N\A	1	4.6.5
latitude_centroid	Float32	4	Degree	1	4.6.6
longitude_centroid	Float32	4	Degree	1	4.6.7
boresight_incidence	Float32	4	Degree	1	4.6.8
tb_h_corrected	Float32	4	Kelvin	1	4.6.9

Table 9: L3_SM_P output parameters

Float32

Float32

Kelvin

Kelvin

4.6.10

4.6.11

tb_4_corrected	Float32	4	Kelvin	1	4.6.12
tb_qual_flag_h	Uint16	2	N\A	4	4.6.13
tb_qual_flag_v	Uint16	2	N\A	4	4.6.14
tb_qual_flag_3	Uint16	2	N\A	4	4.6.15
tb_qual_flag_4	Uint16	2	N\A	4	4.6.16
tb_h_uncorrected	Float32	4	Kelvin	1	4.6.17
tb_v_uncorrected	Float32	4	Kelvin	1	4.6.18
surface_water_fraction_mb_h	Float32	4	N\A	1	4.6.19
surface_water_fraction_mb_v	Float32	4	N\A N\A	1	4.6.20
soil_moisture_error	Float32	4	m3/m3	4	4.6.21
soil_moisture*	Float32	4	m3/m3	4	4.6.22
soil_moisture_scah	Float32	4	m3/m3	4	4.6.22
	Float32	4	m3/m3	4	
soil_moisture_scav	Float32	4		4	4.6.22
soil_moisture_dca		4	m3/m3	6	4.6.22
vegetation_opacity*	Float32	4	N\A		4.6.23
vegetation_opacity_scah	Float32	4	N\A	6	4.6.23
vegetation_opacity_scav	Float32	4	N\A	5	4.6.23
vegetation_opacity_dca	Float32		N\A		4.6.23
retrieval_qual_flag*	Uint16	2 2	N\A	4	4.6.24
retrieval_qual_flag_scah	Uint16		N\A	4	4.6.24
retrieval_qual_flag_scav	Uint16	2	N\A	4	4.6.24
retrieval_qual_flag_dca	Uint16	2	N\A	4	4.6.24
surface_flag	Uint16	2	N\A	4	4.6.25
vegetation_water_content	Float32	4	kg/m2	6	4.6.26
surface_temperature	Float32	4	Kelvin	6	4.6.27
static_water_body_fraction	Float32	4	N\A	6	4.6.28
radar_water_body_fraction	Float32	4	N\A	6	4.6.29
freeze_thaw_fraction	Float32	4	N∖A	6	4.6.30
landcover_class	Uint8	1	N\A	6	4.6.31
landcover_class_fraction	Uint8	1	N\A	6	4.6.32
albedo*	Float32	4	N∖A	6	4.6.33
albedo_scah	Float32	4	N\A	6	4.6.33
albedo_scav	Float32	4	N\A	6	4.6.33
albedo_dca	Float32	4	N\A	6	4.6.33
roughness_coefficient*	Float32	4	N\A	6	4.6.34
roughness_coefficient_scah	Float32	4	N∖A	6	4.6.34
roughness_coefficient_scav	Float32	4	N∖A	6	4.6.34
roughness_coefficient_dca	Float32	4	N∖A	6	4.6.34
clay_fraction	Float32	4	N∖A	6	4.6.35
bulk_density	Float32	4	N∖A	6	4.6.36

^{*} HDF5 soft link to baseline value (currently SCA-V)

Method:

1. From L1C_TB.

- 2. From 36-km EASE Grid 2.0 array definition.
- 3. Value corrected for the presence of water wherever water/land areal fraction is below a threshold. When the fraction is zero, no correction is performed.
- 4. Determined by L2_SM_P processing software.
- 5. Available only with option algorithms that use two polarization channels.
- 6. From external lookup tables or ancillary data whose location and time stamp coincide with those of the input data as applicable.
- 7. Nearest-neighbor interpolation.

4.6 Parameter Definitions

4.6.1 grid_surface_status

Surface type (land or water) as determined by the antenna boresight location.

Precision: Uint16

Dimension: N = Number of grid cells covered by the swath

Valid_min: 0; indicates land Valid_max: 1; indicates water

Unit: $N\setminus A$

4.6.2 latitude

Latitude of the center of the 36-km EASE Grid 2.0 cell.

Precision: Float32
Dimensions: 406×964 Valid_min: -90.0Valid_max: +90.0Unit: Degree

4.6.3 **longitude**

Longitude of the center of a 36-km EASE Grid 2.0 cell.

Precision: Float32
Dimensions: 406×964 Valid_min: -180.0Valid_max: +180.0Unit: Degree

4.6.4 tb time seconds

Daily composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the average of UTC acquisition times of L1B_TB observations whose boresights fall within a 36-km EASE Grid 2.0 cell. The result is then expressed in J2000 seconds (the number of seconds since 12:00:00.000 on January 1, 2000 Barycentric Dynamical Time (TDB)).

Precision: Float64 Dimensions: 406×964

 $\begin{array}{lll} Valid_min: & 0 \\ Valid_max: & N \backslash A \\ Unit: & Second \end{array}$

4.6.5 **tb time utc**

Daily composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the average of UTC acquisition times, in ASCII representation, of L1B_TB observations whose boresights fall within a 36-km EASE Grid 2.0 cell.

Precision: Char24 Dimensions: 406×964

Valid_min: '2014-10-31T00:00:00.000Z'

 $\begin{array}{ll} Valid_max \colon & N \backslash A \\ Unit \colon & N \backslash A \end{array}$

4.6.6 latitude centroid

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of latitudes of L1B_TB observations whose boresights fall within a 36-km EASE Grid 2.0 cell.

Precision: Float32
Dimensions: 406 × 964
Valid_min: -90.0
Valid_max: +90.0
Unit: Degree

4.6.7 longitude_centroid

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of longitudes of L1B_TB observations whose boresights fall within a 36-km EASE Grid 2.0 cell.

Precision: Float32
Dimensions: 406×964 Valid_min: -180.0Valid_max: +180.0Unit: Degree

4.6.8 **boresight_incidence**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of incidence angles of L1B_TB observations whose boresights fall within a 36-km EASE Grid 2.0 cell. The incidence angle is defined as the included angle between the antenna boresight vector and the normal to the Earth's surface.

Precision: Float32 Dimensions: 406×964

Valid_min: 0.0 Valid_max: 90.0 Unit: Degree

4.6.9 **tb_h_corrected**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of L1B_TB horizontally polarized brightness temperatures whose boresights fall within a 36-km EASE Grid 2.0 cell. Wherever water fraction is below a threshold, water brightness temperature correction is applied to this parameter prior to L2_SM_P inversion. This value represents the corrected land brightness temperature if grid_surface_status (Section 4.6.1) is "0" and the water fraction is lower than 0.9 (otherwise no correction is applied) or represents the corrected water brightness temperature if grid_surface_status (Section 4.6.1) is "1" and water fraction is greater than 0.1 (otherwise no correction is applied).

Precision: Float32 Dimensions: 406×964

Valid_min: 0.0
Valid_max: 330.0
Unit: Kelvin

4.6.10 tb v corrected

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of L1B_TB vertically polarized brightness temperatures whose boresights fall within a 36-km EASE Grid 2.0 cell. Wherever water fraction is below a threshold, water brightness temperature correction is applied to this parameter prior to L2_SM_P inversion. This value represents the corrected land brightness temperature if grid_surface_status (Section 4.6.1) is "0" and the water fraction is lower than 0.9 (otherwise no correction is applied) or represents the corrected water brightness temperature if grid_surface_status (Section 4.6.1) is "1" and water fraction is greater than 0.1 (otherwise no correction is applied).

Precision: Float32 Dimensions: 406×964

Valid_min: 0.0 Valid_max: 330.0 Unit: Kelvin

4.6.11 **tb 3 corrected**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of L1B_TB 3rd Stokes polarized brightness temperatures whose boresights fall within a 36-km EASE Grid 2.0 cell.

Precision: Float32
Dimensions: 406 × 964
Valid_min: −50.0
Valid_max: +50.0
Unit: Kelvin

4.6.12 tb_4_corrected

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of L1B_TB 4th Stokes polarized brightness temperatures whose boresights fall within a 36-km EASE Grid 2.0 cell.

Precision: Float32
Dimensions: 406 × 964
Valid_min: −50.0
Valid_max: +50.0
Unit: Kelvin

4.6.13 tb_qual_flag_h

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean 'AND' operation between the same parameters from both fore- and aft-looking groups in the input L1C_TB granule. A '0' indicates that both the fore-looking and aft-looking L1C_TB observations satisfy a given quality criterion described in L1B_TB's tb_qual_flag_h output parameter; a '1' indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C_TB observations. Bit position '0' refers to the least significant digit.

Precision: Uint16 Dimensions: 406×964

Bit Position	Bit Value and Interpretation				
0	0 = Observation has acceptable quality				
0	1 = Observation does not have acceptable quality				
1	0 = Observation within physical range				
1	1 = Observation beyond physical range				
2	0 = RFI was not detected in the observation				
2	1 = RFI was detected in the observation				
3	0 = RFI was detected and corrected in the observation				
3	1 = RFI was detected but not correctable in the observation				
4	0 = Observation had acceptable NEDT				
4	1 = Observation did not have acceptable NEDT				
5	0 = Direct sun correction was successful				
3	1 = Direct sun correction was not successful				
6	0 = Reflected sun correction was successful				
0	1 = Reflected sun correction was not successful				
7	0 = Reflected moon correction was successful				
/	1 = Reflected moon correction was not successful				
8	0 = Direct galaxy correction was successful				
8	1 = Direct galaxy correction was not successful				
9	0 = Reflected galaxy correction was successful				
9	1 = Reflected galaxy correction was not successful				
10	0 = Atmosphere correction was successful				
10	1 = Atmosphere correction was not successful				
11	0 = Faraday rotation correction was successful				
11	1 = Faraday rotation correction was not successful				
12	0 = Observation was a valid value				
12	1 = Observation was a null value				
13	0 = Water correction was not performed				

	1 = Water correction was performed		
1.4	0 = TA minus TA_FILTERED was less than a threshold		
14	1 = TA minus TA_FILTERED was greater than a threshold		
15	0 = Observation was free of RFI		
15	1 = Observation was RFI contaminated		

4.6.14 **tb_qual_flag_v**

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean 'AND' operation between the same parameters from both fore- and aft-looking groups in the input L1C_TB granule. A '0' indicates that both the fore-looking and aft-looking L1C_TB observations satisfy a given quality criterion described in L1B_TB's tb_qual_flag_v output parameter; a '1' indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C_TB observations. Bit position '0' refers to the least significant digit.

Precision: Uint16 Dimensions: 406×964

Bit Position	Bit Value and Interpretation				
0	0 = Observation has acceptable quality				
U	1 = Observation does not have acceptable quality				
1	0 = Observation within physical range				
1	1 = Observation beyond physical range				
2	0 = RFI was not detected in the observation				
2	1 = RFI was detected in the observation				
3	0 = RFI was detected and corrected in the observation				
3	1 = RFI was detected but not correctable in the observation				
4	0 = Observation had acceptable NEDT				
4	1 = Observation did not have acceptable NEDT				
5	0 = Direct sun correction was successful				
3	1 = Direct sun correction was not successful				
6	0 = Reflected sun correction was successful				
0	1 = Reflected sun correction was not successful				
7	0 = Reflected moon correction was successful				
,	1 = Reflected moon correction was not successful				
8	0 = Direct galaxy correction was successful				
0	1 = Direct galaxy correction was not successful				
9	0 = Reflected galaxy correction was successful				
,	1 = Reflected galaxy correction was not successful				
10	0 = Atmosphere correction was successful				
10	1 = Atmosphere correction was not successful				

11	0 = Faraday rotation correction was successful
	1 = Faraday rotation correction was not successful
12	0 = Observation was a valid value
12	1 = Observation was a null value
13	0 = Water correction was not performed
13	1 = Water correction was performed
1.4	0 = TA minus TA_FILTERED was less than a threshold
14	1 = TA minus TA_FILTERED was greater than a threshold
15	0 = Observation was free of RFI
13	1 = Observation was RFI contaminated

4.6.15 tb_qual_flag_3

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean 'AND' operation between the same parameters from both fore- and aft-looking groups in the input L1C_TB granule. A '0' indicates that both the fore-looking and aft-looking L1C_TB observations satisfy a given quality criterion described in L1B_TB's tb_qual_flag_3 output parameter; a '1' indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C_TB observations. Bit position '0' refers to the least significant digit.

Precision: Uint16 Dimensions: 406×964

Bit Position	Bit Value and Interpretation			
0	0 = Observation has acceptable quality			
U	1 = Observation does not have acceptable quality			
1	0 = Observation within physical range			
1	1 = Observation beyond physical range			
2.	0 = RFI was not detected in the observation			
Δ	1 = RFI was detected in the observation			
3	0 = RFI was detected and corrected in the observation			
3	1 = RFI was detected but not correctable in the observation			
4	0 = Observation had acceptable NEDT			
4	1 = Observation did not have acceptable NEDT			
5	0 = Direct sun correction was successful			
3	1 = Direct sun correction was not successful			
6	0 = Reflected sun correction was successful			
O	1 = Reflected sun correction was not successful			
7	0 = Reflected moon correction was successful			
/	1 = Reflected moon correction was not successful			

0 = Direct galaxy correction was successful				
1 = Direct galaxy correction was not successful				
0 = Reflected galaxy correction was successful				
1 = Reflected galaxy correction was not successful				
0 = Atmosphere correction was successful				
1 = Atmosphere correction was not successful				
intentionally left undefined				
0 = Observation was a valid value				
1 = Observation was a null value				
0 = Observation was within half orbit				
1 = Observation was outside half orbit				
0 = TA minus TA_FILTERED was less than a threshold				
1 = TA minus TA_FILTERED was greater than a threshold				
0 = Observation was free of RFI				
1 = Observation was RFI contaminated				

4.6.16 **tb_qual_flag_4**

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean 'AND' operation between the same parameters from both fore- and aft-looking groups in the input L1C_TB granule. A '0' indicates that both the fore-looking and aft-looking L1C_TB observations satisfy a given quality criterion described in L1B_TB's tb_qual_flag_4 output parameter; a '1' indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C_TB observations. Bit position '0' refers to the least significant digit.

Precision: Uint16 Dimensions: 406×964

Bit Position	Bit Value and Interpretation			
0	0 = Observation has acceptable quality			
0	1 = Observation does not have acceptable quality			
1	0 = Observation within physical range			
1	1 = Observation beyond physical range			
2	0 = RFI was not detected in the observation			
2	1 = RFI was detected in the observation			
2	0 = RFI was detected and corrected in the observation			
3	1 = RFI was detected but not correctable in the observation			
4	0 = Observation had acceptable NEDT			
4	1 = Observation did not have acceptable NEDT			
0 = Direct sun correction was successful				

	1 = Direct sun correction was not successful				
6	0 = Reflected sun correction was successful				
6	1 = Reflected sun correction was not successful				
7	0 = Reflected moon correction was successful				
/	1 = Reflected moon correction was not successful				
8	0 = Direct galaxy correction was successful				
O	1 = Direct galaxy correction was not successful				
9	0 = Reflected galaxy correction was successful				
9	1 = Reflected galaxy correction was not successful				
10	0 = Atmosphere correction was successful				
10	1 = Atmosphere correction was not successful				
11	intentionally left undefined				
12	0 = Observation was a valid value				
12	1 = Observation was a null value				
13	0 = Observation was within half orbit				
15	1 = Observation was outside half orbit				
14	0 = TA minus TA_FILTERED was less than a threshold				
14	1 = TA minus TA_FILTERED was greater than a threshold				
15	0 = Observation was free of RFI				
13	1 = Observation was RFI contaminated				

4.6.17 tb_h_uncorrected

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of L1B_TB horizontally polarized brightness temperatures *prior to surface correction* whose boresights fall within a 36-km EASE Grid 2.0 cell.

Precision: Float32

Dimensions: N = Number of grid cells covered by the swath

Valid_min: 0.0 Valid_max: 340.0 Unit: Kelvin

4.6.18 tb_v_uncorrected

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C_TB granule. The resulting parameter thus describes the weighted average of L1B_TB vertically polarized brightness temperatures *prior to surface correction* whose boresights fall within a 36-km EASE Grid 2.0 cell.

Precision: Float32

Dimensions: N = Number of grid cells covered by the swath

Valid_min: 0.0 Valid_max: 340.0 Unit: Kelvin

4.6.19 surface_water_fraction_mb_h

Daily global composite of the water fraction with the SMAP radiometer main-beam (mb) IFOV weighted by antenna gain pattern at the horizontal polarization.

Precision: Float32

Dimension: N = Number of grid cells covered by the swath

 Valid_min:
 0.0

 Valid_max:
 1.0

 Unit:
 N\A

4.6.20 surface_water_fraction_mb_v

Daily global composite of the water fraction with the SMAP radiometer main-beam (mb) IFOV weighted by antenna gain pattern at the vertical polarization.

Precision: Float32

Dimension: N = Number of grid cells covered by the swath

 Valid_min:
 0.0

 Valid_max:
 1.0

 Unit:
 N\A

4.6.21 soil moisture error

Daily global composite of the estimated '1-sigma' error of the *soil_moisture* output parameter. The valid minimum and maximum below are subject to further analysis on real data. This data field is currently filled with FillValue.

Precision: Float32 Dimensions: 406×964

Valid min: 0.00

Valid_max: Soil porosity Unit: m3/m3

4.6.22 soil_moisture (soil_moisture_scah, soil_moisture_scav, soil_moisture_dca)

Daily global composite of the estimated soil moisture for each algorithm option at 36-km grid posting, as returned by the L2_SM_P processing software. The generic *soil_moisture* field is internally linked to the output produced by the baseline algorithm (SCA-V currently).

Precision: Float32 Dimensions: 406×964 Valid_min: 0.02

Valid_max: Soil porosity

Unit: m3/m3

4.6.23 vegetation_opacity (vegetation_opacity_scah, vegetation_opacity_scav, vegetation_opacity_dca)

Daily global composite of the estimated vegetation opacity for each algorithm option at 36-km grid posting, as returned by the L2_SM_P processing software. Note that this parameter is the same 'tau' parameter normalized by the cosine of the incidence angle in the 'tau-omega' model.

$$\tau = \frac{b * VWC}{\cos \theta}$$

where b is a landcover-based parameter described in the SMAP Level 2/3 Passive Soil Moisture Product ATBD, VWC is vegetation water content in kg/m² derived from NDVI climatology, and θ is the incidence angle (= 40 deg) for SMAP. The valid minimum and maximum below are subject to further analysis on real data. For the DCA, the vegetation opacity is retrieved directly along with soil moisture, without referring to the NDVI climatology. The generic *vegetation_opacity* field is internally linked to the output produced by the baseline algorithm (SCA-V currently).

Precision: Float32 Dimensions: 406×964

 Valid_min:
 0.00

 Valid_max:
 5.00

 Unit:
 N\A

4.6.24 retrieval_qual_flag (retrieval_qual_flag_scah, retrieval_qual_flag_scav, retrieval_qual_flag_dca)

Daily global composite of a 16-bit binary string of 1's and 0's that indicate whether retrieval was performed or not at a given grid cell. When retrieval was performed, it contains additional bits to further indicate the exit status and quality of the retrieval. There is a retrieval_qual_flag value provided for each of the algorithm options. A summary of bit definition of the retrieval_qual_flag field is listed below. The generic retrieval_qual_flag field is internally linked to the output produced by the baseline algorithm (SCA-V currently).

Precision: Uint16 Dimensions: 406×964

Bit	Retrieval Information	Bit Value and Interpretation		
0	Recommended Quality	0: Soil moisture retrieval has recommended quality		
		1: Soil moisture retrieval doesn't have recommended quality		
1 D.	Datriaval Attameted	0: Soil moisture retrieval was attempted		
1	Retrieval Attempted	1: Soil moisture retrieval was skipped		
2 Retrie	Retrieval Successful	0: Soil moisture retrieval was successful		
	Retrieval Successful	1: Soil moisture retrieval was not successful		
3	Retrieval Successful	0: Freeze/thaw state retrieval was successful		
		1: Freeze/thaw state retrieval was not successful		
4-15	Undefined	0 (not used in L2 SM P)		

4.6.25 surface_flag

Daily global composite of a 16-bit binary string of 1's and 0's that indicate the presence or absence of certain surface conditions at a grid cell. In Table 10, a '0' indicates the presence of a surface condition favorable to soil moisture retrieval. Each surface condition is numerically compared against two non-negative thresholds: T1 and T2, where T1 < T2. In most cases, when a surface condition is found to be below T1, retrieval is attempted and flagged for recommended quality. Between T1 and T2, retrieval is still attempted but flagged for uncertain quality. Above T2, retrieval is skipped. A summary of surface conditions and their thresholds are listed below.

Precision: Uint16 Dimensions: 406×964

Table 10: L3_SM_P surface condition bit flag definition. Bit position '0' refers to the least significant bit. Final bit positions and definitions are subject to future revision and expansion as needed.

Bit	Surface Condition	T1	Т2	Bit Value and Interpretation
0	Static Water	0.05	0.50	0: Water areal fraction ≤ T1 and IGBP wetland fraction < 0.5: • Retrieval attempted for fraction ≤ T2 1: Otherwise: • Retrieval skipped for fraction > T2
1	Radar-derived Water Fraction	0.05	0.50	 0: Water areal fraction ≤ T1 and IGBP wetland fraction < 0.5: Retrieval attempted for fraction ≤ T2 1: Otherwise. Retrieval skipped for fraction > T2

2	Coastal Proximity	N\A	1.0	0: Distance to nearby significant water bodies > T2 (number of 36-km grid cells) 1: Otherwise.
3	Urban Area	0.25	1.00	 0: Urban areal fraction ≤ T1: Retrieval attempted for fraction ≤ T2 1: Otherwise: Retrieval skipped for fraction > T2
4	Precipitation	2.78e-04 (equivalent to 1.0 mm/hr)	7.06e-03 (equivalent to 25.4 mm/hr)	 0: Precipitation rate ≤ T1: Retrieval attempted for rate ≤ T2 1: Otherwise: Retrieval skipped for rate > T2
5	Snow	0.05	0.50	 0: Snow areal fraction ≤ T1: Retrieval attempted for fraction ≤ T2 1: Otherwise: Retrieval skipped for fraction > T2
6	Permanent Ice	0.05	0.50	 0: Ice areal fraction ≤ T1: Retrieval attempted for fraction ≤ T2 1: Otherwise: Retrieval skipped for fraction > T2
7	Frozen Ground (from radiometer- derived FT state)	0.05	0.50	0: Frozen ground areal fraction ≤ T1: • Retrieval attempted for fraction ≤ T2 1: Otherwise: • Retrieval skipped for fraction > T2
8	Frozen Ground (from modeled effective soil temperature)	0.05	0.50	0: Frozen ground areal fraction ≤ T1: • Retrieval attempted for fraction ≤ T2 1: Otherwise: • Retrieval skipped for fraction > T2
9	Mountainous Terrain	3°	6°	0: Slope standard deviation ≤ T11: Otherwise.
10	Dense Vegetation	5.0	30.0	0: VWC ≤ T1: • Retrieval attempted for VWC ≤ T2 1: Otherwise: • Retrieval skipped for VWC > T2
11	Nadir Region / Undefined			0 (not used in the product)
12-15	Undefined			0

As with any satellite retrieval data product, proper data usage is encouraged. The following two simple practices are recommended for using SMAP soil moisture retrievals with maximum scientific benefits:

- Use the retrieval_qual_flag field to identify retrievals in the soil_moisture field estimated to be of recommended quality. A retrieval_qual_flag value of either 0 or 8 indicates high-quality retrievals. Proper use of the retrieval_qual_flag field is an effective way to ensure that only retrievals of recommended quality will be used in data analyses.
- For further investigation, use the surface_flag field and the associated definitions to determine why the retrieval_qual_flag field did not report recommended quality at a given grid cell.

4.6.26 vegetation_water_content

Daily global composite of the vegetation water content at 36-km grid posting. This parameter is used as input ancillary data parameter to the L2_SM_P processing software when the baseline algorithm is used. The valid minimum and maximum below are subject to further analysis on real data.

Precision: Float32 Dimensions: 406×964

Valid_min: 0.0 Valid_max: 30.0 Unit: kg/m2

4.6.27 surface temperature

Daily global composite of effective soil temperature (Choudhury, *J. Geophysical Research*, 1982) at 36-km spatial scale. This parameter is used as an input ancillary data parameter to the L2_SM_P processing software for both baseline and option algorithms, and is not to be confused with an actual physical temperature measured at a single depth. The valid minimum and maximum below are subject to further analysis on real data.

Precision: Float32
Dimensions: 406 × 964
Valid_min: 253.15
Valid_max: 313.15
Unit: Kelvin

4.6.28 static_water_body_fraction

Daily global composite of the static water body areal fraction at 36-km grid posting. The fraction is computed based on the number of water pixels and land pixels reported on a 250-meter grid. If there are NW water pixels and NL land pixels within a 36-km grid cell, this parameter refers to the fraction of NW / (NW + NL). Note that NW is the number of water pixels regardless of their temporal span – NW captures both static water pixels and transient water pixels when the original data were acquired.

Precision: Float32

Dimensions: N = Number of grid cells covered by the swath

4.6.29 radar water body fraction

Daily global composite of the radar-derived water body areal fraction at 36-km grid posting. The fraction is computed based on the number of water pixels and land pixels reported on the 3-km global cylindrical EASE Grid 2.0 projection in the SMAP Level 2 Active Soil Moisture Product (L2_SM_A). If there are NW water pixels and NL land pixels within a 36-km grid cell, this parameter refers to the fraction of NW / (NW + NL). Note that NW is the number of water pixels regardless of their temporal span – NW captures both static water pixels and transient water pixels. Since the failure of the SMAP radar, this field has been set to the static_water_body_fraction field.

Precision: Float32

Dimensions: N = Number of grid cells covered by the swath

 Valid_min:
 0.0

 Valid_max:
 1.0

 Unit:
 N\A

4.6.30 freeze_thaw_fraction

Daily global composite of freeze/thaw areal fraction at 36-km grid posting . The fraction is computed based on the number of frozen land pixels and thawed land pixels reported on the 3-km global cylindrical EASE Grid 2.0 projection in the SMAP Level 2 Active Soil Moisture Product (L2_SM_A). If there are NF frozen ground pixels and NT thawed land pixels within a 36-km grid cell, this parameter refers to the fraction of NF / (NF + NT). At present the L2_SM_P processing software can be configured to provide this parameter from a dynamic ancillary data database or from the SMAP L2_SM_A product. Since the failure of the SMAP radar, this field has been derived from external soil temperature ancillary data.

Precision: Float32 Dimensions: 406×964

Valid_min: 0.0 Valid_max: 1.0 Unit: N\A

4.6.31 landcover_class

Daily global composite of the first three most dominant land cover classes according to the IGBP land cover map. The relative dominance is determined based on ranking among land cover classes using the mode statistic.

Precision: Uint8

Dimensions: $N \times 3 = \text{Number of grid cells covered by the swath}$

Valid_min: 0

Valid_max: 16 Unit: N\A

4.6.32 landcover_class_fraction

Daily global composite of the areal fractions of the first three most dominant land cover classes according to a 500-meter MODIS IGBP land cover map. The relative dominance is determined based on ranking among all land cover classes using the mode statistic. For example, if there are N1 pixels that correspond to the first class and there are NT pixels comprising all land cover classes within a 36-km grid cells, the corresponding percentage refers to (N1 / NT).

Precision: Float32

Dimensions: $N \times 3 = \text{Number of grid cells covered by the swath}$

 Valid_min:
 0

 Valid_max:
 1.0

 Unit:
 N\A

4.6.33 albedo (albedo_scah, albedo_scav, albedo_dca)

Daily global composite of single-scattering albedo at 36-km grid posting. There is a value of *albedo* for each of the algorithm options. Note that this parameter is the same 'omega' parameter in the 'tau-omega' model for a given polarization channel. The generic *albedo* field is internally linked to the output produced by the baseline algorithm (SCA-V currently).

Precision: Float32 Dimensions: 406×964

 Valid_min:
 0.0

 Valid_max:
 1.0

 Unit:
 N\A

4.6.34 roughness_coefficient (roughness_coefficient_scah, roughness_coefficient_scav, roughness_coefficient_dca)

Daily global composite of roughness coefficient at 36-km grid posting. There is a value of *roughness_coefficient* for each of the algorithm options. Note that this parameter is the same 'h' coefficient in the 'tau-omega' model for a given polarization channel. The 'h' for the DCA is derived from a special retrieval process and provided as a static ancillary table. The generic *roughness_coefficient* field is internally linked to the output produced by the baseline algorithm (SCA-V currently).

Precision: Float32 Dimensions: 406×964

4.6.35 clay_fraction

Daily global composite of clay fraction at 36-km grid posting.

Precision: Float32 Dimensions: 406×964

 $\begin{array}{lll} Valid_min: & 0.0 \\ Valid_max: & 1.0 \\ Unit: & N \hspace{-0.5em} \backslash A \\ \end{array}$

4.6.36 bulk_density

Daily global composite of bulk density at 36-km grid posting.

Precision: Float32 Dimensions: 406×964

5 REFERENCES

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- SMAP Level 2 Science Requirements. SMAP Project, JPL D-45955, Jet Propulsion Laboratory, Pasadena, CA.
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5.2 Plans

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- SMAP Level 1B Radar (L1C_S0_LoRes) Product Specification Document. SMAP Project, JPL D-72544, Jet Propulsion Laboratory, Pasadena, CA.
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5.5 Others

- Interface Control Document Between the Soil Moisture Active Passive (SMAP) Science Data System (SDS) and the Alaska Satellite Facility (ASF) and National Snow and Ice Data Center (NSIDC) Distributed Active Archive Centers (DAACs), Goddard Space Flight Center.
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6 APPENDIX A: ACRONYMS AND ABBREVIATIONS

This is the standard Soil Moisture Active Passive (SMAP) Science Data System (SDS) list of acronyms and abbreviations. Not all of these acronyms and abbreviations appear in every SMAP SDS document.

ADT Algorithm Development Team

AMSR Advanced Microwave Scanning Radiometer
ANSI American National Standards Institute

APF Algorithm Parameter File
ARS Agricultural Research Service
ASF Alaska Satellite Facility

ATBD Algorithm Theoretical Basis Document
ATLO Assembly Test Launch and Operations
BFPQ Block Floating Point Quantization

BIC Beam Index Crossing

CARA Criticality and Risk Assessment

CBE Current Best Estimate

CCB Configuration Control Board

CCSDS Consultative Committee on Space Data Systems

CDR Critical Design Review

CEOS Committee on Earth Observing Systems
CF Climate and Forecast (metadata convention)

CM Configuration Management

CM Center of Mass

CONUS Continental United States
COTS Commercial Off the Shelf

CR Change Request

DAAC Distributed Active Archive Center

DB Database

DBA Database Administrator
DCA Dual-Channel Algorithm

dB Decibels deg Degrees

deg/secDegrees per seconddeg CDegrees Celsius

DEM Digital Elevation Model
DFM Design File Memorandum
DIU Digital Interface Unit

DN Data Number

DOORS Dynamic Object Oriented Requirements

DQC
Data Quality Control
DSK
Digital Skin Kernel
DVD
Digital Versatile Disc
EASE
Equal Area Scalable Earth

ECMWF European Centre for Medium Range Weather Forecasts

ECHO EOS Clearing House

ECI Earth Centered Inertial Coordinate System
ECR Earth Centered Rotating Coordinate System

ECR Engineering Change Request ECS EOSDIS Core System

EDOS EOS Data Operations System

EM Engineering Model
EOS Earth Observing System

EOSDIS Earth Observing System Data and Information System

EPO Education and Public Outreach

ESDIS Earth Science Data and Information System Project

ESDT Earth Science Data Type

ESSP Earth Science System Pathfinder

ET Ephemeris Time
EU Engineering Units
FOV Field of View

FRB Functional Requirements Baseline

FS Flight System
FSW Flight Software
F/T Freeze/Thaw

FTP File Transfer Protocol

Gbyte Gigabyte

GDS Ground Data System
GHA Greenwich Hour Angle

GHz Gigahertz

GLOSIM Global Simulation

GMAO Government Modeling and Assimilation Office

GMT Greenwich Mean Time
GN Ground Network

GPMC Governing Program Management Council

GPP Gross Primary Production
GPS Global Positioning System
GSE Ground Support Equipment
GSFC Goddard Space Flight Center
HDF Hierarchical Data Format
HK Housekeeping (telemetry)

Hz Hertz

HSD Health and Status Data

ICE Integrated Control Electronics

ICESat Ice, Cloud and Land Elevation Satellite

IDL Interactive Data Language I&T Integration and Test

ICD Interface Control Document

IEEE Institute of Electrical and Electronics Engineers

IFOV Instantaneous Field of View

I/O Input/Output
IOC In-Orbit Checkout
IRU Inertial Reference Unit

ISO International Organization for Standardization

IV&V Independent Verification and Validation ITAR International Traffic in Arms Regulations

I&TIntegration and TestJPLJet Propulsion Laboratory

kHz Kilohertz km Kilometers

LAN Local Area Network
LBT Loopback Trap
LEO Low Earth Orbit

LEOP Launch and Early Operations

LOE Level Of Effort
LOM Life Of Mission
LOS Loss of Signal

LSK Leap Seconds Kernel

LZPF Level Zero Processing Facility

m Meters MHz Megahertz

MIT Massachusetts Institute of Technology

MMR Monthly Management Review MOA Memorandum of Agreement MOC Mission Operations Center

MODIS Moderate Resolution Imaging Spectroradiometer

MOS Mission Operations System

m/s Meters per second ms Milliseconds MS Mission System

NAIF Navigation and Ancillary Information Facility
NASA National Aeronautics and Space Administration
NCEP National Centers for Environmental Protection

NCP North Celestial Pole

NCSA National Center for Supercomputing Applications

NEDT Noise Equivalent Diode Temperature

NEE Net Ecosystem Exchange
NEN Near Earth Network

netCDF Network Common Data Form NFS Network File System/Server

NISN NASA Integrated Services Network

NRT Near Real Time

NOAA National Oceanic and Atmospheric Administration

NSIDC National Snow and Ice Data Center

NVM Non-Volatile Memory

NWP Numerical Weather Prediction

N\A Not applicable

OCO Orbiting Carbon Observatory

ORBNUM Orbit Number File

OODT Object Oriented Data Technology

ORR Operational Readiness Review ORT Operational Readiness Test

OSSE Observing System Simulation Experiment

OSTC One Second Time Command
PALS Passive and Active L-Band System

PALSAR Phased Array L-Band Synthetic Aperture Radar

PcK Planetary Constants Kernel PDR Preliminary Design Review

PPPCS Pointing, Position, Phasing and Coordinate System

PR Problem Report

PRF Pulse Repetition Frequency
PRI Pulse Repetition Interval

PROM Programmable Read Only Memory
PSD Product Specification Document

QA Quality Assurance

rad Radians

RAM Random Access Memory RBA Reflector Boom Assembly

RBD Rate Buffered Data RBE Radiometer Back End

RDD Release Description Document RDE Radiometer Digital Electronics

RF Radio Frequency
RFA Request For Action
RFE Radiometer Front End

RFI Radio Frequency Interference

RMS Root mean square
RSS Root sum square
ROM Read Only Memory
RPM revolutions per minute
RVI Radar Vegetation Index
SA System Administrator
SAR Synthetic Aperture Radar

S/C Spacecraft

SCA Single Channel Algorithm (SCA-V for V-pol and SCA-H for H-pol)

SCE Spin Control Electronics

SCLK Spacecraft Clock

SDP Software Development Plan

SDS Science Data System
SDT Science Definition Team
SI International System

SITP System Integration and Test Plan SMAP Soil Moisture Active Passive SMEX Soil Moisture Experiment

SMOS Soil Moisture and Ocean Salinity Mission

SMP Software Management Plan

SNR Signal to noise ratio

SOC Soil Organic Carbon

SOM Software Operators Manual SQA Software Quality Assurance

SPDM Science Process and Data Management

SPG Standards Process Group

SPK Spacecraft Kernel

SQA Software Quality Assurance
SPS Science Production Software
SRF Science Orbit Reference Frame
SRR System Requirements Review
SRTM Shuttle Radar Topography Mission
SSM/I Special Sensor Microwave/Imager

STP Software Test Plan

sec Seconds

TAI International Atomic Time
TB Brightness Temperature

TBC To Be Confirmed
TBD To Be Determined
TBR To Be Resolved

TCP/IP Transmission Control Protocol/Internet Protocol

TEC Total Electron Content

TM Trademark
TOA Time of Arrival
TPS Third Party Software

UML Unified Modeling Language U-MT University of Montana

USDA United States Department of Agriculture

UTC Coordinated Universal Time V&V Verification and Validation VWC Vegetation Water Content